

DARBOUX'S THEOREM FAILS FOR WEAK SYMPLECTIC FORMS

J. MARSDEN¹

ABSTRACT. An example of a weak symplectic form on a Hilbert space for which Darboux's theorem fails is given.

Introduction. Let E be a Banach space and $B: E \times E \rightarrow \mathbf{R}$ a continuous bilinear form. Let $B^b: E \rightarrow E^*$ be defined by $B^b(e) \cdot f = B(e, f)$. Call B *nondegenerate* if B^b is an isomorphism and call B *weakly nondegenerate* if B^b is injective. For a symmetric bilinear form G on E , define the skew form \tilde{G} on $E \times E$ by

$$\tilde{G}((e_1, e_2), (f_1, f_2)) = G(f_2, e_1) - G(e_2, f_1).$$

It is easily seen that \tilde{G} is nondegenerate (resp. weakly nondegenerate) iff G is.

Now let M be a Banach manifold. A *symplectic form* (resp. *weak symplectic form*) on M is a smooth closed two form ω on M such that for each $p \in M$, ω as a bilinear form on $T_p M$ is nondegenerate (resp. weakly nondegenerate); here $T_p M$ is the tangent space at p . Using a technique of Moser, Weinstein ([6], [7]) showed that for each $p \in M$ there is a local chart about p on which ω is constant. This is a significant generalization and simplification of the classical theorem of Darboux. However, in many physical examples (the wave equation and fluid mechanics for instance) one deals with weak symplectic forms (see [1], [3], [4], [5]).

It is therefore interesting to know if Darboux's theorem remains valid for weak symplectic forms. In this note we give a counterexample.

Symplectic forms induced by metrics. If M is a manifold, its cotangent bundle T^*M carries a canonical symplectic form ω . If M is modeled on a reflexive space the form is nondegenerate; otherwise it is only weakly nondegenerate. See [1], [4]. Now let $\langle \cdot, \cdot \rangle_p$ be a (smooth) weak riemannian metric on M . Then it induces a map of TM to T^*M . The pull back Ω of ω to TM is called the form *induced by the metric*. It is a weak symplectic

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form and in a chart U for M it is given by (using principal parts):

$$2\Omega_{u,e}((e_1, e_2), (e_3, e_4)) = D_u\langle e, e_1 \rangle_u \cdot e_3 - D_u\langle e, e_3 \rangle_u \cdot e_1 + \langle e_4, e_1 \rangle_u - \langle e_2, e_3 \rangle_u.$$

Here, D_u denotes the derivative of the map $u \mapsto \langle e, e_1 \rangle_u$ with respect to u . In the finite dimensional case this corresponds to the classical formula

$$\Omega = \sum g_{ij} dq^i \wedge dq^j + \sum \frac{\partial g_{ij}}{\partial q^k} q^i dq^j \wedge dq^k.$$

Observe that in the finite dimensional case if we take new variables $q^1, \dots, q^n, p_1, \dots, p_n$ where $p_i = \sum g_{ij} \dot{q}^j$, then (as is easy to check) $\Omega = \sum dq^i \wedge dp_i$ which gives a chart in which Ω is constant.

The example. The following is a simplification of an earlier example. We thank the referee and Paul Chernoff for suggestions in this regard.

Let H be a real Hilbert space. Let $S: H \rightarrow H$ be a compact operator with range a dense, but proper subset of H , which is selfadjoint and positive: $\langle Sx, x \rangle > 0$ for $0 \neq x \in H$. For example if $H = L_2(\mathbf{R})$, we can let $S = (1 - \Delta)^{-1}$ where Δ is the Laplacian; the range of S is $H^2(\mathbf{R})$.

Since S is positive, -1 is clearly not an eigenvalue. Thus, by the Fredholm alternative, $aI + S$ is onto for any real scalar $a > 0$. Define on H the weak metric $g(x)(e, f) = \langle A_x e, f \rangle$ where $A_x = S + \|x\|^2 I$. Clearly g is smooth in x , and is an inner product. Let Ω be the weak symplectic form on $H \times H = H_1$ induced by g , as was discussed above.

PROPOSITION. *There is no coordinate chart about $(0, 0) \in H_1$ on which Ω is constant.*

PROOF. If there were such a chart, say $\phi: U \rightarrow H \times H$ where U is a neighborhood of $(0, 0)$, then in particular in this chart, the range F of Ω^b , as a map of H_1 to H_1^* , would be constant. Let $B_{x,y}$ be the derivative of ϕ at $(x, y) \in H_1$. Then we obtain that the range of $\Omega_{x,y}^b$ equals $B_{x,y}^* F$.

Now by the above formula for Ω , at the point $(x, 0)$ we have

$$2\Omega_{(x,0)}((e_1, e_2), (e_3, e_4)) = g_x(e_4, e_1) - g_x(e_2, e_3).$$

But by construction, for $x \neq 0$, g_x is a strong metric (i.e., A_x is onto for $x \neq 0$), so the range of $\Omega_{(x,0)}^b$ is all of H_1^* for $x \neq 0$. Since $B_{x,y}$ is an isomorphism, this implies that $\Omega_{(0,0)}^b$ is onto all of H_1^* as well. But g_0 is only a weak metric which is not onto as a map of H_1 to H_1^* . Hence $\Omega_{(0,0)}^b$ cannot be onto as well, a contradiction.

As was pointed out by the referee, the example even shows that Ω cannot be made constant on a continuous vector bundle chart on $T^2M \rightarrow TM$, let alone by a manifold chart on TM .

Of course the essence of the example is that the range of Ω suddenly changed at one point i.e., the topology of the metric suddenly changed. This is perfectly compatible with the smoothness of Ω as it is only a weak symplectic form. This suggests a possible conjecture pointed out by Paul Chernoff: If Ω is such that the ranges of Ω_u are locally equivalent via an isomorphism, then Darboux's theorem should hold. This can be verified directly in case Ω comes from a metric which has locally equivalent ranges.

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF TORONTO, TORONTO, ONTARIO, CANADA

Current address: Department of Mathematics, University of California, Berkeley, California 94720