



Variational Principles, Dirac Structures, and Reduction

Dedicated to Alan@60

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Joint work with Hiroaki Yoshimura (and others)

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What Dirac did; what we do

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- He also worked on the program of going to the Hamiltonian side via the Legendre transformation and computing the associated Poisson brackets.
- **Lesson** learned from examples and applications:
In many if not most cases, one does *not start* on the Hamiltonian side, but rather on the Lagrangian side with a variational principle.

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- Dirac understood this very clearly and it is how his papers are written; but this seems to have been a forgotten lesson!
- While it is quite appropriate that *Dirac structures* are named after him, it seems that workers in the field have so far left out Lagrangian mechanics from the story! *Our goal is to fill this gap (or canyon).*
- You are wrong if you believe that this gap can be trivially filled by simply waving a Legendre transformation wand.

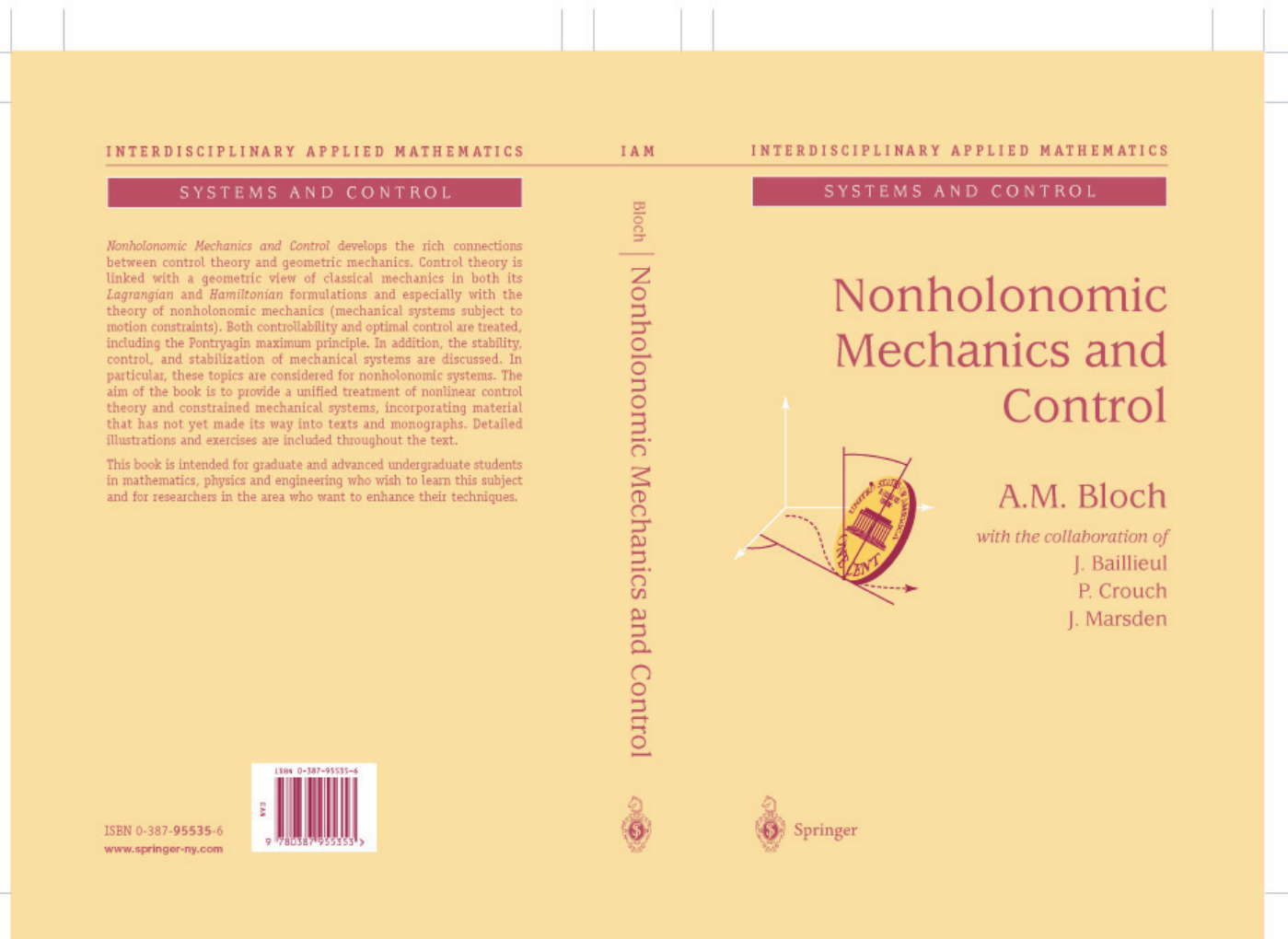
Examples

- Standard nondegenerate Lagrangian and Hamiltonian systems, possibly with symmetry, possibly reduced.
- Specific case: *the dynamics of asteroid pairs*, such as Ida and Dactyl:



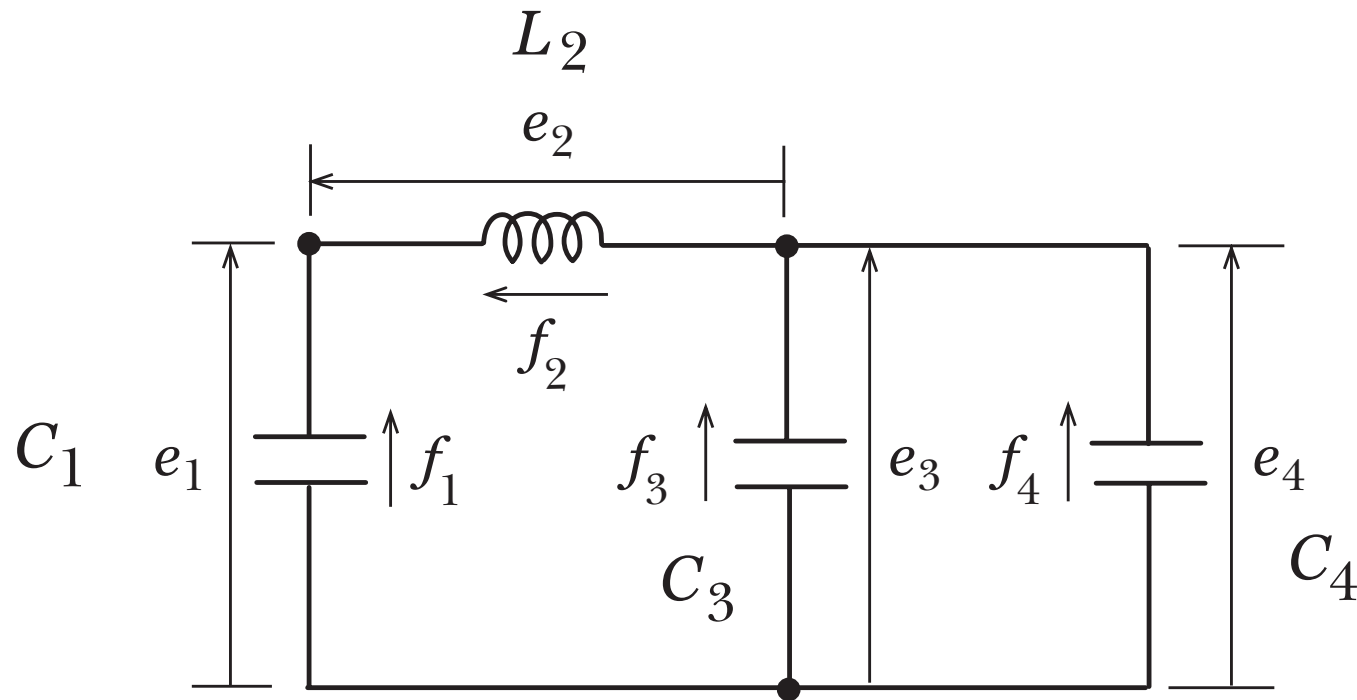
Examples

- **Nonholonomic mechanics.** Specific example is the rolling penny. Have a look at one of my favorite books:



Examples

- **Electrical Networks.** Specific example; how to analyze the dynamics associated with this network:



Theoretical Developments

- General development of Dirac structures (Courant, Weinstein, Dorfman) and reduction theory of Dirac structures (Van der Schaft, Blankenstein, Ratiu).
- Application to nonholonomic systems and circuits, but on the Hamiltonian side (horrors!) by Van der Schaft, Maschke, Bloch, Crouch and others.

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- Application to nonholonomic systems and circuits, but on the Hamiltonian side (horrors!) by Van der Schaft, Maschke, Bloch, Crouch and others.
- Lagrangian and Hamiltonian reduction theory (see article of JM and Alan on the history of the subject).
- General symplectic and Poisson reduction are fine, but one wants more detail for the case of tangent and cotangent bundles. Why? Well, that is how one does examples!

Theoretical Developments

- **Hamiltonian reduction of cotangent bundles**¹ Start with $H : T^*Q \rightarrow \mathbb{R}$ and a Lie group G acting (free and proper for simplicity) on Q . Choose a principal connection A on the *shape space bundle* $Q \rightarrow Q/G$.

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$$T^*Q/G \cong_A T^*(Q/G) \times \mathfrak{g}^*$$

with its natural Poisson structure (containing curvature terms from A), etc.

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- Reduced equations on this space are called the *Hamilton-Poincaré equations*. When $Q = G$, you get the *Lie-Poisson equations* on \mathfrak{g}^* .

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- If you do symplectic reduction in this context by imposing a momentum map constraint $J = \mu$ then one gets an *associated coadjoint orbit bundle*

$$J^{-1}(\mu) / G_\mu = T^*(Q/G) \times \tilde{\mathcal{O}}_\mu$$

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- This is actually *useful* in examples; eg, the asteroid pair problem, in the dynamics of a fluid with a free surface. (The Hodge decomposition provides the connection).

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- **Lagrangian reduction of tangent bundles²**: Start with $L : TQ \rightarrow \mathbb{R}$ and a Lie group G acting (free and proper for simplicity) on Q . Choose a principal connection A on the *shape space bundle* $Q \rightarrow Q/G$, so that

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- Strategy: reduce variational principles, not symplectic or Poisson structures. One can close up this category so that reduction keeps you in it (reduction by stages).
- *Don't you dare* choose a metric and identify TQ and T^*Q or a Killing form and identify \mathfrak{g} and \mathfrak{g}^* !!

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Theoretical Developments

- Many other variations on the theme: For example, *semi-direct product reduction theory*³ and its recent generalization to group extensions (including Bott Virasoro, Camassa-Holm, Dym, etc).

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- *Stability theory*⁴ for relative equilibria; energy-momentum method (Arnold method, energy-Casimir, block diagonalization,).

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⁴Arnold, JEM, Simo, Lewis,...

Nonholonomic Mechanical Systems

- Constraints such as rolling constraints. Dynamics governed by the *Lagrange-d'Alembert principle*: start with a distribution $\Delta \subset TQ$ and ask that

$$\delta \int L dt = 0$$

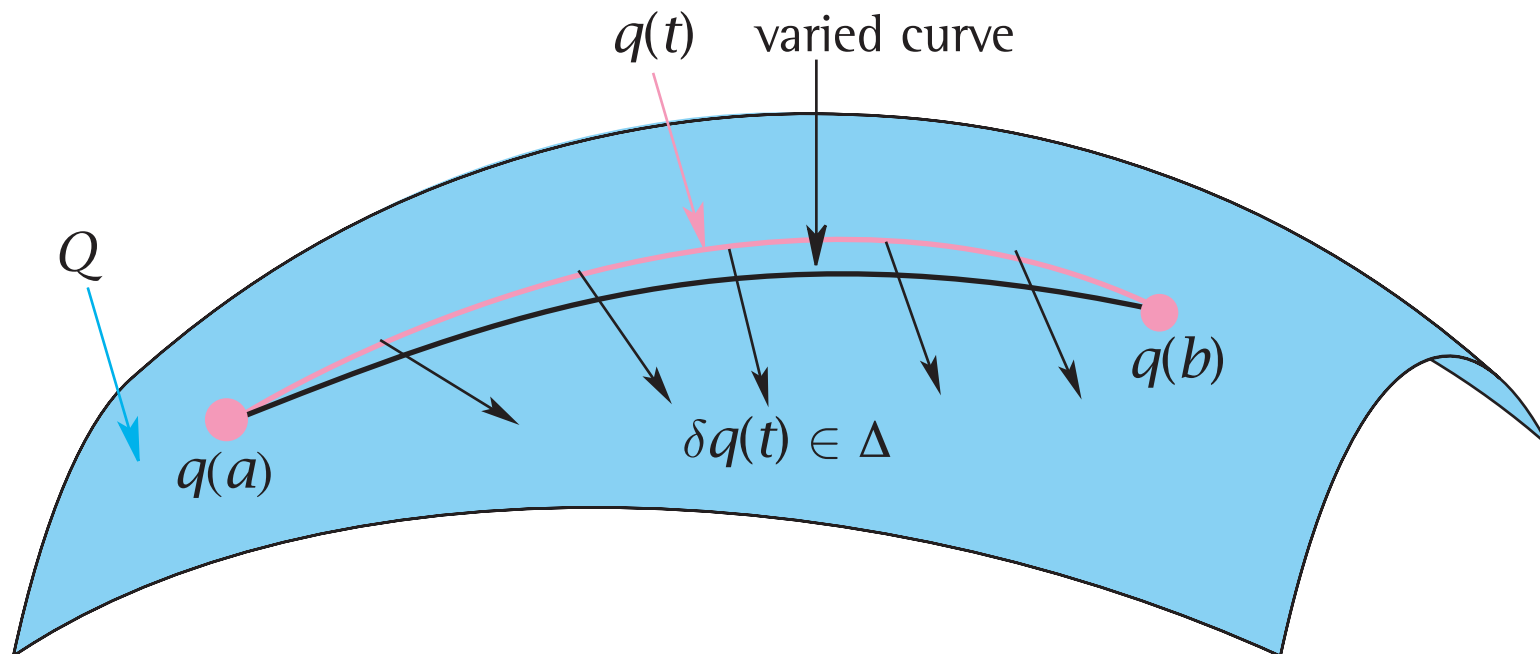
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- The equations on the Hamiltonian side (assuming the Lagrangian is regular) are governed by an *almost Poisson structure* and, in a sense, by an almost symplectic structure. In fact, the Jacobiator is measured by the curvature of Δ .⁵

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- The equations on the Hamiltonian side (assuming the Lagrangian is regular) are governed by an *almost Poisson structure* and, in a sense, by an almost symplectic structure. In fact, the Jacobiator is measured by the curvature of Δ .⁵
- Despite this, the system *is* described by a Dirac structure, as I will explain below.

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Nonholonomic Mechanical Systems

- Despite the above, there is also an energy-momentum method for stability (applies to the classical examples: rattleback, the unicycle, ...); there is also a picture similar to the bundle picture above with a beautiful intrinsic geometric description.⁶
- Resulting equations: the *Lagrange-d'Alembert-Poincaré* equations.
- (Not quite as bad as hemi-quasi-twisted-algebroids, ...)
- **Circuits** are typically not only nonholonomic (because of the Kirchhoff current laws), they are also often degenerate (giving *primary* constraints in the sense of Dirac).

⁶Obtained by Cendra, Marsden and Ratiu in 2001.

Variational methods are useful!

- Shell collisions: thin shell models using *multisymplectic variational methods, AVI + subdivision + collision methods* (JEM, Ortiz, Cirac–West)

Shell collision

A Question for you.

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- Euler–Poincaré equations on \mathfrak{g}

$$\frac{d}{dt} \frac{\delta l}{\delta v} = \text{ad}_v^* \frac{\delta l}{\delta v},$$

A Question for you.

- Answer:⁷

$$\delta \int_a^b l(v(t)) dt = 0$$

for *constrained* variations of the form

$$\delta v(t) = \dot{\eta}(t) + [v(t), \eta(t)],$$

where $\eta(t)$ has fixed endpoints.

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- Method of proof: reduce Hamilton's principle on TG

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- **Answer:** A Pontryagin type principle:

$$\delta \int_a^b (\langle \mu(t), v(t) \rangle - h(\mu(t))) dt = 0$$

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- The Legendre transformation $v = \delta h / \delta \mu$ is part of the variational principle! Neat! We will need this sort of thing.
- Method: reduce Hamilton's phase space principle.

Implicit Hamiltonian Systems

- Recall: a *Dirac structure* on a manifold R is: a subbundle $D \subset TR \times T^*R$ such that $D = D^\perp$, where the perp is with respect to the natural pairing

$$\langle\langle (u, \alpha), (v, \beta) \rangle\rangle = \langle \beta, u \rangle + \langle \alpha, v \rangle$$

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- **Standard examples:** graph of an (almost) symplectic or (almost) Poisson structure. [Not enough for nonholonomic systems—one needs to put in the constraints].
- For a symplectic or Poisson manifold, a *Hamiltonian vector field* X_H on R associated to a function H satisfies

$$(X, dH) \in D$$

at each point of R . For good reason, Van der Schaft calls these things *implicit Hamiltonian systems*; they are an important part of his theory of “interconnected” and “port controlled” systems.

The Big Diagram

- Before defining an implicit Lagrangian system, we will need some more terminology and a “big diagram”.⁸
- Namely, we need two natural diffeomorphisms; first there is the diffeomorphism

$$TT^*Q \rightarrow T^*T^*Q$$

associated with the canonical symplectic form on T^*Q .

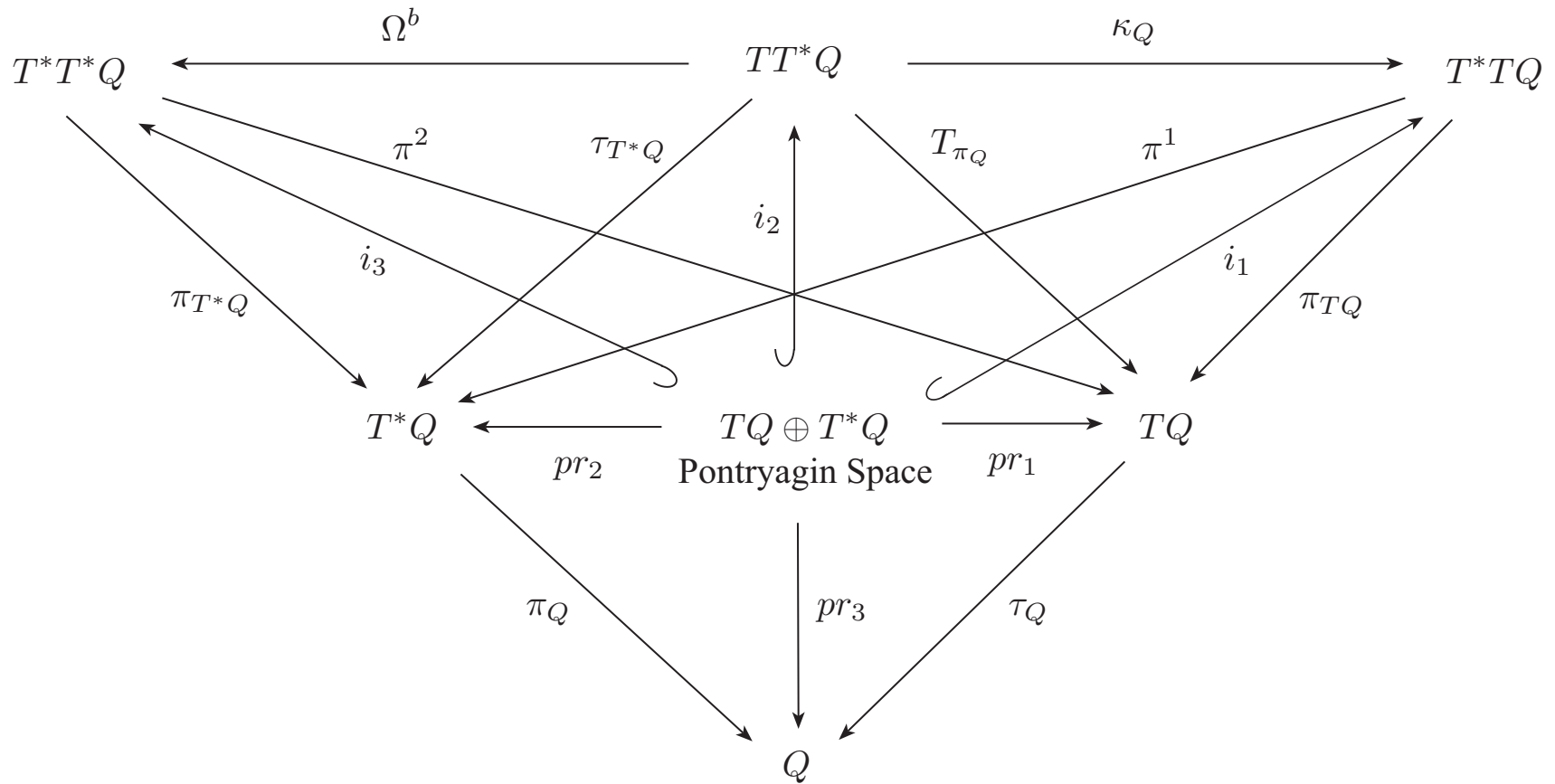
- Second, there is the natural diffeomorphism between

$$TT^*Q \rightarrow T^*TQ$$

given in coordinates by $(q, p, \delta q, \delta p) \mapsto (q, \delta q, \delta p, p)$ and determined intrinsically by the commutativity of the following “big diagram”.

⁸Some parts of this picture are due to Tulczyjew; the full diagram was formulated by Cendra, JM and Ratiu.

The Big Diagram



Implicit Lagrangian Systems

- Let $D \subset TT^*Q \times T^*T^*Q$ be a given Dirac structure on T^*Q . Let $L : TQ \rightarrow \mathbb{R}$ be a given Lagrangian and let

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- An *implicit Lagrangian system* relative to the given Dirac structure D is a vector field X on T^*Q satisfying

$$(X, \mathfrak{D}L) \in D$$

at each point of T^*Q .

Standard Lagrangian Systems

- Hamilton's principle may be rewritten so that it fits very well with the above definition.
- Write Hamilton's principle this way:

$$\begin{aligned} 0 &= \delta \int_a^b L(q(t), \dot{q}(t)) dt \\ &= \int_a^b \left(\frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} \right) dt \\ &= \int_a^b \left(\dot{p} \delta q - \dot{p} \delta q + \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} \right) dt \\ &= \int_a^b \left(-\dot{p} \delta q - p \delta \dot{q} + \frac{\partial L}{\partial q} \delta q + \frac{\partial L}{\partial \dot{q}} \delta \dot{q} \right) dt + p \delta q \Big|_a^b \\ &= - \int_a^b \left\{ \left(\dot{p} - \frac{\partial L}{\partial q} \right) \delta q + \left(p - \frac{\partial L}{\partial \dot{q}} \right) \delta \dot{q} \right\} dt + p \delta q \Big|_a^b. \end{aligned}$$

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- Notice that the final equations include the Legendre transformation $p = \partial L / \partial \dot{q}$ as part of the equations. The boundary term, as is (now) standard, gives the canonical one-form.
- The equations (where $X(q, p) = (q, p, \dot{q}, \dot{p})$) written this way are *exactly* the Dirac structure equations, namely, $(X, \mathbb{D}L) \in D$, *including* the Legendre transform and also the correct identification of \dot{q} .

Nonholonomic Example

- Now we are ready to state the nonholonomic equations in terms of Dirac structures.
- Given the constraint distribution $\Delta \subset TQ$, we will now define an *associated Dirac structure* D_Δ on T^*Q .
- It will save time if we define D_Δ when $Q = V$, a vector space. Then at each point $q \in V$, $\Delta \subset V$ and

$$D_\Delta \subset TT^*Q \times T^*T^*Q$$

becomes, at each fiber point $(q, p) \in V \times V^*$,

$$D_\Delta \subset (V \times V^*) \times (V^* \times V)$$

Let

$$D_\Delta = \{(v, \beta), (\gamma, -v) \mid v \in \Delta, \gamma - \beta \in \Delta^0\}$$

where $\Delta^0 \subset V^*$ is the polar of Δ .

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- Of course this is also directly tied to the Lagrange-d'Alembert variational structure of the equations.

Concluding Remarks

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- In particular, the reduction of the standard Dirac structure on T^*Q is *not* the standard Dirac structure on \mathfrak{g}^* , but rather should be one on $\mathfrak{g} \times \mathfrak{g}^*$ associated with the reduced “Pontryagin” space.

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- In doing PDE, relativistic field theories or even quantum mechanics, multisymplectic and multipoisson structures are the way to do. What is a *multi-Dirac* structure? (Think of a rolling ball of jello, or squishy tires on a road to motivate nonholonomic PDE's).

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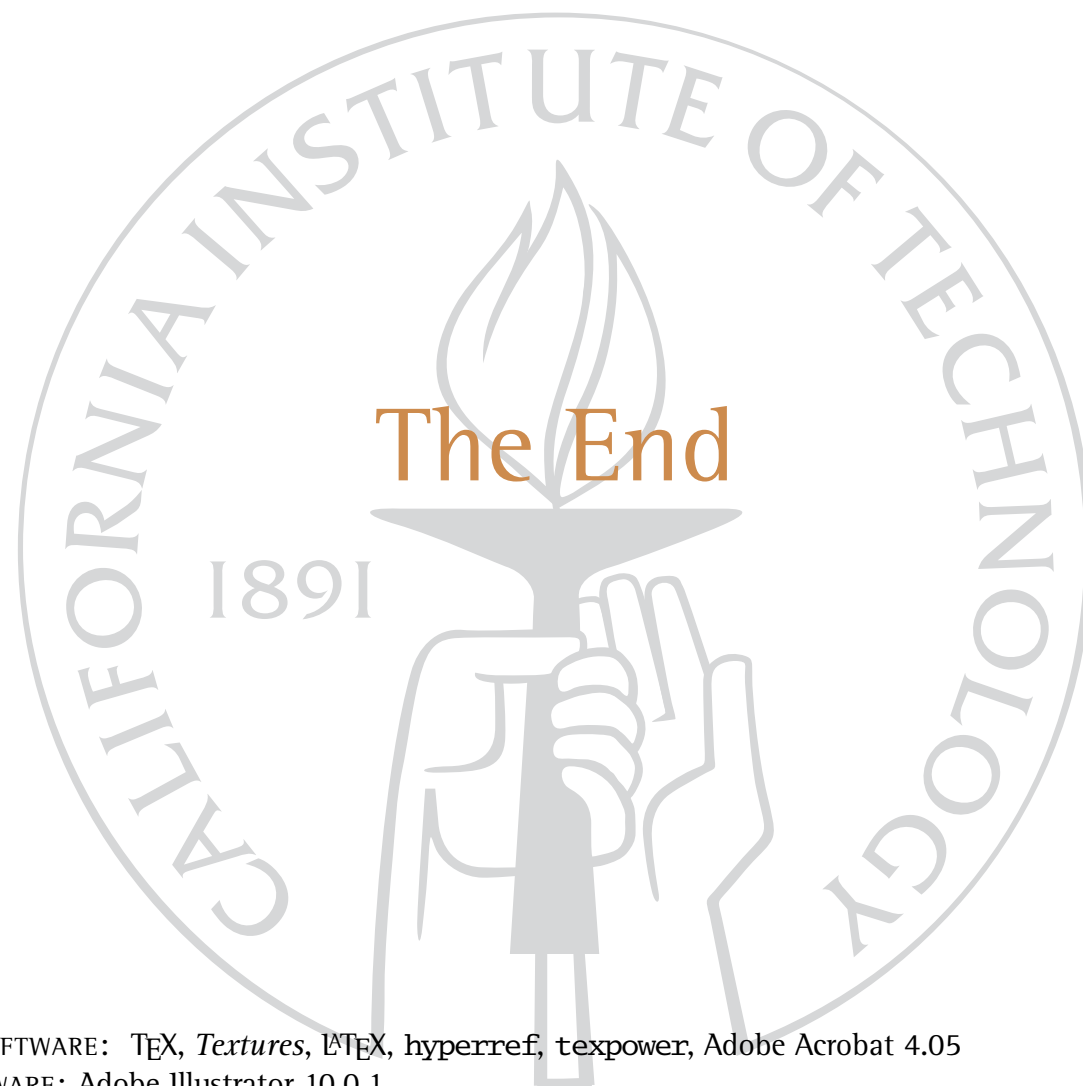
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