

## Linearization stability and Signorini Series for the traction problem in elastostatics

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### Synopsis

This paper uses previous results of Chillingworth, Marsden and Wan on symmetry and bifurcation for the traction problem in three dimensional elastostatics to establish new results on the Signorini expansion. We show that the Signorini compatibility conditions are necessary and sufficient for linearization stability and analogies with results known for other field theories are pointed out. Under an explicit non-degeneracy condition, a new series expansion is given in which successive terms are inductively determined in pairs rather than singly. Our results include as special cases, classical results of Signorini, Tolotti and Stoppelli.

### 1. Introduction

This paper studies linearization stability of the equations of non-linear elastostatics and the closely related notion of the expansion of the solution in a series whose terms are found by solving a hierarchy of linear problems. The latter topic was developed extensively by Signorini starting in [12] and is thoroughly described in Grioli [10] and Truesdell and Noll [16]. Linearization stability originated in perturbation theory for the Einstein equations of general relativity by Fischer and Marsden [7, 8] but is a notion that is useful in the study of non-linear partial differential equations rather generally.

Chillingworth, Marsden and Wan [4, 5] studied the bifurcation of solutions of the traction problem for small loads, as the loads are varied. Some of the results obtained there will be used in an essential way here. In particular, those papers used the Liapunov-Schmidt procedure to study the bifurcations near a given load  $l$ ; this process reduced the problem to studying the critical points of a reduced potential function on a manifold  $S_A$ , where  $A$  is the associated astatic load. The manifold  $S_A$  is either four points, two points and a circle, one point and  $\mathbb{R}P^2$ , two

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disjoint circles or  $\mathbb{R}P^3$ , depending on the type (either 0, 1, 2, 3 or 4) of the load. Crucial in this bifurcation study is the *Betti form*, a function on  $S_A$  closely related to the symmetric bilinear form on linearized solutions that occurs in the Betti reciprocity theorem (see for instance, Marsden and Hughes [11]).

This paper contains three principal theorems. First of all, in Theorem 1, we relate the Signorini compatibility conditions to the critical points of the Betti form on  $S_A$ . This has, as a consequence, an extension of a theorem of Tolotti [15]. Secondly, in Theorem 2, we show that the Signorini compatibility conditions are necessary and sufficient for linearization stability. This is analogous to the theorem in general relativity which states that the Taub conditions are necessary and sufficient for linearization stability, a result of Fischer, Marsden and Moncrief [9] and Arms, Marsden and Moncrief [1, 2]. However, the technical details in the two theorems seem to have little if anything in common. Thirdly, in Theorem 3, we establish a generalization and modification of the classical Signorini-Stoppelli schemes for a power series solution which is valid even when the loads have axes of equilibrium. Our scheme is different from and is not restricted by the special series used by Stoppelli [14] in his analysis of the bifurcation of solutions for type 1 loads.

As in [4, 5], we assume the material is hyperelastic, materially frame indifferent, the reference configuration is stress free and the linearized elasticity tensor  $\epsilon$  at the identity is stable (and hence strongly elliptic).

**2. Linearization stability and critical points of the Betti form**

We begin with the definition of linearization stability.

DEFINITION. Suppose a pair  $(u_1, l_1)$  (displacement, load) satisfies the equations of elastostatics linearized about the (stress free) reference state  $I$  (= Identity); i.e.

$$Lu_1 = l_1.$$

Here,  $Lu_1 = D\Phi(I) \cdot u_1$  and  $\Phi(\phi) = (-\text{DIV } P, P \cdot N)$ , where  $\phi: \mathcal{B} \rightarrow \mathbb{R}^3$  is a configuration of the body  $\mathcal{B}$ ,  $P$  is the first Piola-Kirchhoff stress at  $\phi$  and  $N$  is the unit outward normal on  $\mathcal{B}$ . Let us call the pair  $(u_1, l_1)$  *linearization stable* (or *integrable*) if there exists a  $C^\infty$  curve  $(\phi(\lambda), l(\lambda)) \in \mathcal{C} \times \mathcal{L}$  (configuration, loads) such that

- (i)  $\phi(0) = I, l(0) = 0,$
- (ii)  $\phi'(0) - u_1 \in \ker D\Phi(I), l'(0) = l_1,$

and

- (iii)  $\Phi(\phi(\lambda)) = l(\lambda).$

Here,  $(\phi(\lambda), l(\lambda))$  should be defined in some interval; say  $[0, \epsilon), \epsilon > 0$ .

For  $l_1 \in \mathcal{L}_e$  (the equilibrated loads), let us say that  $l_1$  is *linearization stable* when there is a curve  $(\phi(\lambda), l(\lambda)) \in \mathcal{C} \times \mathcal{L}$  satisfying (i) and (iii) above with  $l'(0) = l_1$ . Then,  $D\Phi(I) \cdot \phi'(0) = l_1$  is automatic and we can take  $u_1 = \phi'(0)$ .

A classical result of Signorini and Stoppelli is the following:

PROPOSITION 1. Suppose  $l_1 \in \mathcal{L}_e$  has no axis of equilibrium and  $D\Phi(I)u_1 = l_1$ . Then  $(u_1, l_1)$  is linearization stable.

Proof. Let  $l(\lambda) = \lambda l_1$ . Then there is a unique smooth curve  $\phi(\lambda)$  through  $I$  such that  $\Phi(\phi(\lambda)) = l(\lambda)$  by [4, Theorem 5.1]. By differentiating at  $\lambda = 0$ , we obtain  $D\Phi(I) \cdot \phi'(0) = l_1$ , so  $\phi'(0) - u_1 \in \ker D\Phi(I)$ .  $\square$

In this proposition and elsewhere in the paper, the spaces of loads and configurations are appropriate Sobolev spaces; see [4, 5] for details. We note, however, that elements of the space  $\mathcal{C}$  of configuration are at least  $C^1$ .

The following Signorini compatibility conditions produce a potential obstruction to linearization stability. Let us write

$$\int_{\mathcal{B}} u \times l \quad \text{for} \quad \int_{\mathcal{B}} u(X) \times B(X) dV(X) + \int_{\partial \mathcal{B}} u(X) \times \tau(X) dA(X),$$

where  $l = (B, \tau) = (\text{body force, surface traction})$ .

PROPOSITION 2. Suppose  $l_1 \in \mathcal{L}_e$  is linearization stable with  $l(\lambda) \in \mathcal{L}_e$ . On letting  $u_1$  be as above, we have

$$\int_{\mathcal{B}} l_1 \times u_1 = 0. \tag{C}$$

Proof. We have identity  $\int_{\mathcal{B}} l(\lambda) \times \phi(\lambda) = 0$  from balance of moment of momentum. By differentiating twice in  $\lambda$  and setting  $\lambda = 0$ , we obtain

$$\int_{\mathcal{B}} l''(0) \times I + 2 \int_{\mathcal{B}} l'(0) \times \phi'(0) = 0.$$

Since  $l(\lambda) \in \mathcal{L}_e$ , we have  $l''(0) \in \mathcal{L}_e$ , so the first integral is zero. Thus  $\int_{\mathcal{B}} l_1 \times u_1 = 0$ .  $\square$

If we write

$$l(\lambda) = \lambda l_1 + \lambda^2 l_2 + \dots$$

and

$$\phi(\lambda) = I + \lambda u_1 + \lambda^2 u_2 + \dots$$

and assume  $l(\lambda) \in \mathcal{L}_e$ , then  $\int l(\lambda) \times \phi(\lambda) = 0$  gives a hierarchy of conditions:

- order  $\lambda$ :  $\int l_1 \times I = 0$  (i.e.  $l_1 \in \mathcal{L}_e$ ),
- order  $\lambda^2$ :  $\int l_1 \times u_1 = 0$  (using  $l_2 \in \mathcal{L}_e$ ),
- $\vdots$
- order  $\lambda^n$ :  $\int l_1 \times u_{n-1} + \int l_2 \times u_{n-2} + \dots + \int l_{n-1} \times u_1 = 0.$

Stoppelli [13] proved that the curve  $\phi(\lambda)$  needed for Proposition 1 can be obtained by the preceding Signorini scheme with  $l(\lambda) = \lambda l_1$ .

We shall now reformulate the compatibility condition (C) in geometric terms. Let  $A = k(l) \in \text{sym}$  (the symmetric  $3 \times 3$  matrices) be the astatic load defined by

$$k(l) = \int_{\mathcal{B}} B(X) \otimes X dV(X) + \int_{\partial \mathcal{B}} \tau(X) \otimes X dA(X),$$

and let  $u_0(l) \in \mathcal{U}_{\text{skew}}$ , the  $L^2$  orthogonal complement to Skew in  $\mathcal{U} = T_l \mathcal{C}$ , the space of linearized displacements, be defined by the linear problem  $Lu_0(l) = Ql$

when  $Q \in S_A$ , i.e. when  $QI \in \mathcal{L}$ . (By the linear theory,  $L: \mathcal{U}_{\text{sym}} \rightarrow \mathcal{L}$  is an isomorphism; see Fichera [6] or Marsden and Hughes [11, Chapter 6].) Let  $\mathfrak{B}(Q) = (\langle \nabla u_Q(I), \nabla u_Q(I) \rangle)$ , the Betti form, defined on  $S_A$ .

LEMMA 1.  $\mathfrak{B}$  restricted to  $S_A$  has a critical point at  $Q \in S_A$ , if and only if  $\langle \nabla u_{WQ}(I), \nabla u_Q(I) \rangle = 0$  for all  $W \in \text{skew}$  (the  $3 \times 3$  skew matrices) such that  $WQk(I) \in \text{sym}$ .

*Proof.* This follows from the definition of  $\mathfrak{B}$ .  $\square$

The following is readily verified.

LEMMA 2. Let  $A \in \text{sym}$  be fixed and let  $p: \text{skew} \times \text{skew} \rightarrow \mathbb{R}$  be defined by  $p(K, W) = \langle KA, W \rangle$ . Then  $p$  is a symmetric bilinear form with kernel  $\{K \in \text{skew} \mid KA \in \text{sym}\}$ .

Note that  $\langle KA, W \rangle$  is the Hessian of  $-(I, Q^T I) = -(QI, I)$  at  $Q = I$ , where

$$(I, \phi) = \int_{\text{in}} B(X) \cdot \phi(X) dV(X) + \int_{\text{out}} \tau(X) \cdot \phi(X) dA(X).$$

Here is our first main result.

THEOREM 1. Let  $I_1 \in \mathcal{L}$ . Then there exists a  $u_1 \in \mathcal{U}$  such that  $L(u_1) = I_1$  and  $\int I_1 \times u_1 = 0$  if and only if the Betti form (for  $I_1$ ) restricted to  $S_A$ , has a critical point at the identity  $I$ .

*Proof.* First assume  $u_1$  exists. Thus,  $\int I_1 \times u_1 = 0$ , so  $\langle W I_1, u_1 \rangle = 0$  for all  $W \in \text{skew}$ . We can write  $u_1(X) = u_1(X) + KX$  for some  $K \in \text{skew}$ . Then

$$\begin{aligned} \langle \nabla u_W, \nabla u_1 \rangle &= \langle W I_1, u_1 \rangle \\ &= \langle W I_1, u_1 + KX \rangle = \langle W I_1, KX \rangle = \langle k, k(W I_1) \rangle = 0, \quad \text{when} \\ & \hspace{10em} k(W I_1) \in \text{sym}. \end{aligned}$$

Thus,  $\mathfrak{B}$  has a critical point at  $I$  by Lemma 1. For the converse, we need to find  $K \in \text{skew}$ , so that, with  $u_1 = u_1 - KX$ , we have  $\langle W I_1, u_1 \rangle = 0$  for all  $W \in \text{skew}$ . Now  $\langle W I_1, u_1 \rangle = \langle \nabla u_W, u_1 \rangle$  is a linear function of  $W \in \text{skew}$ , vanishing for  $Wk(I_1) \in \text{sym}$  (by hypotheses). Thus, by Lemma 2, there is a  $K \in \text{skew}$  such that  $\langle W I_1, u_1 \rangle = \langle WA, K \rangle$  for all  $W \in \text{skew}$ . Therefore,  $\langle W I_1, u_1 \rangle = \langle W I_1, u_1 \rangle - \langle W I_1, KX \rangle = \langle W I_1, u_1 \rangle - \langle WA, K \rangle = 0$  for all  $W \in \text{skew}$ .  $\square$

The next corollary is an extension of results of Tolotti [15].

COROLLARY. There exist at least 4 rotations  $Q$  in  $SO(3)$  such that the Signorini 0th and 1st order compatibility conditions hold for  $QI_1 = I^*$ , i.e.  $I^* \in \mathcal{L}$ , and  $\int I^* \times u^* = 0$  for some  $u^*$  satisfying  $L(u^*) = I^*$ .

*Proof.* Let  $Q$  be a critical point of  $\langle \nabla u_Q(I_1), \nabla u_Q(I_1) \rangle$  on  $S_A$ . By Lemma 1,  $\langle \nabla u_{WQ}(I_1), \nabla u_Q(I_1) \rangle = 0$  when  $WQk(I_1) \in \text{sym}$ . Since  $u_{WQ}(I_1) = u_W(QI_1) = u_W(I^*)$ , and  $u_Q(I_1) = u_1(QI_1) = u_1(I^*)$ , we get  $\langle \nabla u_{WQ}(I^*), \nabla u_1(I^*) \rangle = 0$  for all  $WQ(I^*) \in \text{sym}$ . By Lemma 1 and Theorem 1 with  $Q = I$  and  $I = I^*$ , we see that this critical point has the desired property. Since, by critical point theory, at least 4 such critical points can always be found, the corollary follows.  $\square$

### 3. Linearization stability and the compatibility conditions

Besides the case of no-axis of equilibrium, Stoppelli also showed that the Signorini scheme can be made to work for parallel loads. In fact this result, which we now recall, follows directly from [5, Theorem 4.7].

PROPOSITION 3 (Stoppelli). Let  $I(\lambda) = \lambda I_1$ , where  $I_1$  is a non-trivial load parallel to the  $z$ -axis. Then there is a solution curve  $\phi(\lambda)$ , which can be obtained by Signorini's scheme supplemented by the condition  $u_{1,2}(0) - u_{2,1}(0) = 2c(\lambda)$ , where  $c(\lambda) \rightarrow 0$  but is otherwise an arbitrary given function.

We now recall some developments that combine the classical cases of Propositions 1 and 3, following Capriz and Podio-Guidugli [3].

DEFINITION. Let  $I_1 \in \mathcal{L}$ , and set  $\phi(\lambda) = k(I_1, I + \lambda u)$  for  $u \in \mathcal{U}$ . The load  $I_1$  is said to be infinitesimally stable when, for any  $u \in \mathcal{U}$ , there exists a smooth curve  $Q(\lambda) \in SO(3)$ , with  $Q(0) = I$  such that  $Q(\lambda)\phi(\lambda) \in \text{sym}$ .

This is motivated by the following. One seeks a solution in the form  $\phi = Q^{-1}(I + \lambda u)$ ; where  $Q = Q(\lambda)$  and  $u = u(\lambda)$ . Thus,  $k(I_1, Q^{-1}(I + \lambda u)) \in \text{sym}$  or  $Q(\lambda)k(I_1, I + \lambda u(\lambda)) \in \text{sym}$ . Requiring a solvability condition depending on the load only, leads to the notion of an infinitesimally stable load. The next result follows readily; see the aforementioned reference for details.

PROPOSITION 4. A load  $I_1 \in \mathcal{L}$  is infinitesimally stable if and only if

$I_1$  has no axis of equilibrium

or,

$I_1$  is a non-trivial parallel load.

Thus, the load  $I_1$  is linearization stable if it is infinitesimally stable.

The next theorem generalizes the classical results by showing that the necessary condition (C) is also sufficient. No special non-degeneracy hypotheses are required.

THEOREM 2. Let  $I_1 \in \mathcal{L}$ . If there is a  $u_1 \in \mathcal{U}$  such that  $\int I_1 \times u_1 = 0$ , then  $I_1$  is linearization stable.

*Proof.* By Theorem 1,  $\langle \nabla u_Q, \nabla u_Q \rangle$  has a critical point at  $Q = I$ . Choose  $I_2$  so that  $-2(I_2, Q^T I) - \langle \nabla u_Q, \nabla u_Q \rangle$  restricted to  $S_A$  is non-degenerate at  $I$ . For example, we can choose  $I_2 = (0, aN)$  for a large,  $a > 0$ . Let  $I(\lambda) = \lambda(I_1 + \lambda I_2)$ . Then the reduced bifurcation potential on  $S_A$  is, by [5, formula (30)],

$$\tilde{f} = -\lambda(I_2, Q^T I) - \frac{\lambda}{2} \langle \nabla u_Q, \nabla u_Q \rangle + O(\lambda^2).$$

Thus  $\tilde{f}$  has non-degenerate critical points which vary smoothly in  $\lambda$  and thus, from them,  $\phi(\lambda)$  can be reconstructed by the Liapunov-Schmidt procedure (see [5, §2].)  $\square$

Notice that the second order term  $\lambda^2 I_2$  is necessary to allow construction of  $\phi(\lambda)$ .

An example due to Signorini of a type 4 load  $I_1$  for which no  $u_1$  exists satisfying (C) is described in Capriz and Podio-Guidugli [3, §9].

Two specific cases in which the construction of a curve  $\phi(\lambda)$  corresponding to  $I(\lambda) = \lambda I_1$  is possible and which employ non-degeneracy hypotheses on the Betti form, are as follows.

**COROLLARY.** (a) Let  $I_1 \in \mathcal{L}_e$ , and suppose  $\langle c(\nabla u_Q), \nabla u_Q \rangle$  is non-degenerate along  $S_A$ , at  $Q = I$ . There is a unique solution curve  $\phi(\lambda)$  with  $\phi(0) = I$  such that  $\Phi(\phi(\lambda)) = \lambda I_1$  (here one can choose  $I_2 = 0$  by examination of the preceding proof).

(b) Let  $I_1 \in \mathcal{L}_e$  be a "trivial" load (i.e.  $A_1 = 0$ ) parallel to the z-axis. Suppose that  $\langle c(\nabla u_Q), \nabla u_Q \rangle$  on  $SO(3)$  has a critical point at  $I$  which is non-degenerate transversal to  $S^1 = \{Q \mid QI_1 = I_1\}$ . Then there is a solution curve  $\phi(\lambda)$  with  $\phi(0) = I$  and  $\Phi(\phi(\lambda)) = \lambda I_1$ .

The classical results Propositions 1 and 3 are also corollaries of Theorem 2.

#### 4. Signorini expansions

Now we turn to the problem of finding a generalization of the Signorini scheme which will work in the generality of Theorem 2. We begin by setting up the perturbation series using slightly different notation.

We consider the problem of solving  $\Phi(\phi(\lambda)) = I(\lambda)$ , where  $I(\lambda) \in \mathcal{L}$  is a given curve and  $I(0) = 0$ . (Note that  $I(\lambda)$  is not assumed to lie in  $\mathcal{L}_e$ .) Write the Taylor expansion of  $\Phi$  in  $u$  at  $I$  as  $\Phi = \Phi_1 + \Phi_2 + \Phi_3 + \dots$ . (Thus  $\Phi_1 = L$ , and  $\Phi_2$  is quadratic in  $u$ , etc.) and expand  $I$  as a series in  $\lambda$  by setting  $I(\lambda) = \lambda I_1 + \lambda^2 I_2 + \lambda^3 I_3 + \dots$ . Write the unknown  $\phi(\lambda)$  as  $\phi = I + \lambda u_1 + \lambda^2 u_2 + \dots$ . Hence,

$$\Phi(I + \lambda u_1 + \lambda^2 u_2 + \dots) = L(\lambda u_1 + \lambda^2 u_2 + \dots) + \Phi_2(\lambda u_1 + \lambda^2 u_2 + \dots, \lambda u_1 + \lambda^2 u_2 + \dots) = \lambda I_1 + \lambda^2 I_2 + \dots$$

By comparing orders in  $\lambda$ , we get

$$\begin{aligned} \text{order } \lambda: & \quad L(u_1) = I_1, \quad (\text{so } I_1 \in \mathcal{L}_e), \\ \text{order } \lambda^2: & \quad L(u_2) + \Phi_2(u_1, u_1) = I_2, \\ & \quad \vdots \\ \text{order } \lambda^n: & \quad L(u_n) + 2\Phi_2(u_1, u_{n-1}) + \text{a polynomial in} \\ & \quad u_1, \dots, u_{n-2} = I_n, \quad (n \geq 3), \end{aligned} \tag{L_n}$$

Therefore, one hopes to determine  $u_1, \dots, u_n$  inductively, with the help of the compatibility conditions:

$$\int I_1 \times u_n + \dots + \int I_n \times u_1 + \int I_{n+1} \times I = 0. \tag{C_n}$$

Theorem 1 shows that if  $I_1 = I'(0) \in \mathcal{L}_e$  and has no axis of equilibrium, then there is a unique solution  $\phi(\lambda)$ , and can be obtained by Signorini's scheme. Indeed, suppose  $u_1, \dots, u_{n-1}$  are determined, then  $(L_n)$  and  $(C_n)$  define  $u_n$ . The uniqueness and existence of the formal Signorini's scheme follows from a special case of the next two lemmas.

**LEMMA 3.** Let  $K \in \text{skew}$ , then  $\int I_1 \times KX = 0$  if and only if  $Kk(I_1) \in \text{sym}$  (i.e.  $K \in T_1 S_A$ ).

**LEMMA 4.** Suppose  $u_1, \dots, u_{n-1}$  satisfy  $(L_1), (C_1), \dots, (L_{n-1})$ . Then  $(C_{n-1})$  is the solvability condition for  $u_n$  in  $(L_n)$ .

These simple facts are discussed and proved in [16].

Let us state the results obtained in Theorems 1 and 2 in a slightly different and more general form.

**THEOREM 1'.** Let  $I_1 \in \mathcal{L}_e$ . Then there exists a  $u_1 \in \mathcal{U}$  such that  $L(u_1) = I_1$  and  $\int I_1 \times u_1 + \int I_2 \times I = 0$  if and only if  $2(I_2, Q^T I) + \langle c(\nabla u_Q), \nabla u_Q \rangle$  restricted to  $S_A$ , has a non-degenerate critical point at  $I$ .

**THEOREM 2'.** Consider the problem  $\Phi(\phi(\lambda)) = I(\lambda)$ , with  $I_1 \in \mathcal{L}_e$  and  $I(\lambda) \in \mathcal{L}$  given. Suppose that  $2(I_2, Q^T I) + \langle c(\nabla u_Q), \nabla u_Q \rangle$  restricted to  $S_A$ , has a non-degenerate critical point at  $I$ . Then there is a unique  $\phi(\lambda)$  such that  $\Phi(\phi(\lambda)) = I(\lambda)$ , where  $\phi(0) = I$ .

These theorems are proved in the same way as Theorems 1 and 2.

We claim that  $\phi(\lambda)$  determined by Theorem 2' can be obtained by a modification of Signorini's scheme. The new scheme determines the solutions in pairs.

**THEOREM 3.** Suppose  $u_1, \dots, u_{n-2}$  ( $n \geq 2$ ), and  $u_{n-1} \bmod KX$ ,  $k \in T_1 S_A$ , are determined; then, equations  $(L_n)$  and  $(C_n)$  define  $u_{n-1}$  and  $u_n \bmod KX$ , where  $K \in T_1 S_A$ .

From Theorem 1', one can see readily that  $u_1 \bmod KX$ , with  $K \in T_1 S_A$ , is determined by equations  $(L_1)$  and  $(C_1)$ , provided that the non-degeneracy hypothesis in Theorem 2' is fulfilled. Thus, starting from  $u_1 \bmod KX$  with  $K \in T_1 S_A$ , one can find  $u_1, u_2, \dots$  inductively by Theorem 3.

Our proof of Theorem 3 consists of a brute force computation. Lemmas 5, 6, and 7 are collections (and extensions) of relevant facts we have already established, in [4, 5].

**LEMMA 5.** (a) The function  $2(I_2, Q^T X) + \langle c(\nabla u_Q), \nabla u_Q \rangle$  has a critical point on  $S_A$ , at  $I$  if and only if  $0 = (I_2, K^T X) + \langle c(\nabla u_I), \nabla u_K \rangle$ , for all  $K \in T_1 S_A$ .

(b) The Hessian of this function is  $\langle I_2, K^T X \rangle + \langle c(\nabla u_K), \nabla u_K \rangle + \langle c(\nabla u_I), \nabla u_K \rangle$ , for  $K \in T_1 S_A$ .

Write the first Piola-Kirchhoff tensor in a perturbation series  $P = P_1 + P_2 + P_3 + \dots$ , with  $P_1 = a$  and write, as above,

$$\Phi = \Phi_1 + \Phi_2 + \Phi_3 + \dots, \quad \text{with } \Phi_1 = L.$$

**LEMMA 6.**

$$\begin{aligned} \text{(a)} \quad & \langle L(u), w \rangle = \langle a(\nabla u), \nabla w \rangle, \\ \text{(b)} \quad & \langle \Phi_2(u^2), w \rangle = \langle P_2(\nabla u^2), \nabla w \rangle, \quad \text{for all } u, w \in \mathcal{U}, \end{aligned}$$

where  $\Phi_2(u^2) = \Phi_2(u, u)$ , etc.

Now, write the stored energy function as  $W = W(D)$ , where  $D = \frac{1}{2}(F^T F - 1)$ , and  $F = D\phi$  is the deformation gradient. Thus

$$P = \frac{\partial W}{\partial F}, \quad S = \frac{\partial W}{\partial D} \quad (\text{the second Piola-Kirchhoff stress}),$$

and

$$P(F) = FS(D).$$

Computations show that:

LEMMA 7.

(a)  $\frac{\partial P}{\partial F}(H) = \frac{\partial S}{\partial D}(H), \quad (\text{i.e. } a = c).$

(b)  $\frac{\partial^2 P}{\partial F^2}(H, K) = H \frac{\partial S}{\partial D}(K) + K \frac{\partial S}{\partial D}(H) + \frac{\partial S}{\partial D}(K^T H) + \frac{\partial^2 S}{\partial D^2}(H, K).$

LEMMA 8.

(a)  $\Phi_2(KX^2) = L(\frac{1}{2}K^T KX).$

(b)  $2\Phi_2(u_1, KX) = KI_1 + L(K^T u_1), \quad \text{for } K \in T_1 S_{A_1}.$

Proof.

(a)

$\langle 2\Phi_2(KX, KX), w \rangle = \left\langle \frac{\partial S}{\partial D}(K^T K), \nabla w \right\rangle \quad (\text{by Lemmas 6(b) and 7(b)})$

$= \langle L(K^T KX), w \rangle \quad (\text{by Lemmas 6(a) and 7(a)}).$

(b)

$\langle 2\Phi_2(u_1, KX), w \rangle = \left\langle K \frac{\partial S}{\partial D}(H) + \frac{\partial S}{\partial D}(K^T H), \nabla w \right\rangle \quad (\text{by Lemmas 6(b) and 7(b)})$

$= \langle KI_1 + L(K^T u_1), w \rangle \quad (\text{by Lemma 6(a)}). \quad \square$

LEMMA 9.  $\langle W_1, K^T u_1 \rangle - \langle W_2, KX \rangle$  is symmetric in  $W$  and  $K$  where  $W, K \in T_1 S_{A_1}$ .

Proof.  $\int I_1 \times u_1 + \int I_2 \times I = 0$  means that  $k(I_1, u_1) + k(I_2, I) \in \text{sym}$ . Thus  $\langle \tilde{K}^T, k(I_1, u_1) \rangle + \langle \tilde{K}^T, k(I_2, I) \rangle = 0$  for all  $\tilde{K} \in \text{skew}$ , or  $\langle \tilde{K} I_1, u_1 \rangle + \langle \tilde{K} I_2, X \rangle = 0$ . Let  $\tilde{K} = WK - KW$ . Then one obtains  $\langle WK I_1, u_1 \rangle + \langle WK I_2, X \rangle = \langle KW I_1, u_1 \rangle + \langle KW I_2, X \rangle$  or  $\langle K I_1, W^T u_1 \rangle - \langle K I_2, WX \rangle = \langle W I_1, K^T u_1 \rangle - \langle W I_2, KX \rangle. \quad \square$

Now, we are ready to prove Theorem 3.

(A) Let  $n > 2$ . We need to show that there is a unique  $K \in T_1 S_{A_1}$ , such that  $u_{n-1} = u_n^* - u_K + KX$  ( $u_n^*$  is given by hypotheses) and a corresponding  $u_n$ , obtained by Lemma 4, which solve  $(L_n)$  and  $(C_n)$ . For each  $K \in T_1 S_{A_1}$ , from  $(L_n)$  for  $u_n$  and  $u_n^*$  given by Lemma 4,

$L(u_n - u_n^*) + 2\Phi_2(u_1, KX) = 0.$

By Lemma 8,  $u_n - u_n^* + u_K + K^T u_1 + \tilde{K}X = 0$  for some  $\tilde{K} \in \text{skew}$  (to be determined). On substituting in  $(C_n)$  one has

$\int I_1 \times (u_n^* - u_K - K^T u_1 - \tilde{K}X) + \int I_2 \times (u_n^* + KX) + \int I_3 \times u_{n-2} + \dots + \int I_{n+1} \times I = 0$

or  $-k(I_1, u_K + K^T u_1 + \tilde{K}X) + k(I_2, KX) + M \in \text{sym}, \quad (1)$

where

$M = k(I_1, u_n^*) + k(I_2, u_{n-1}^*) + k(I_3, u_{n-2}) + \dots + k(I_{n+1}, I).$

Since  $\langle W, k(I_1, \tilde{K}X) \rangle = \langle -W I_1, \tilde{K}X \rangle = \langle -W A_1, \tilde{K} \rangle = 0$  for  $W \in T_1 S_{A_1}$ ,

$\langle W, -k(I_1, u_K + K^T u_1) \rangle + \langle W, k(I_2, KX) \rangle + \langle W, M \rangle = 0 \quad \text{for all } W \in T_1 S_{A_1}. \quad (2)$

Now,  $\langle W, -k(I_1, u_K + K^T u_1) \rangle + \langle W, k(I_2, KX) \rangle = \langle W I_1, u_K \rangle + \langle W I_1, K^T u_1 \rangle - \langle W I_2, KX \rangle$  is a non-degenerate form, by Lemmas 9 and 5(b). Thus, there is a unique  $K \in T_1 S_{A_1}$ , such that equation (2) holds. Now, choose this  $K$  and consider the equation (1) for  $\tilde{K}$ , i.e.

$k(I_1, \tilde{K}X) = -k(I_1, u_K + K^T u_1) + k(I_2, KX) + M \text{ mod sym}$   
 $:= N \text{ mod sym}.$

From  $\langle \tilde{K}, k(W^T I_1) \rangle = \langle k(I_1, \tilde{K}X), W \rangle$ , the solvability condition for  $\tilde{K}$  becomes  $\langle W, N \rangle = 0$ , for  $W \in T_1 S_{A_1}$ . Therefore, for the unique solution  $K$  determined by equation (2), one can obtain a  $\tilde{K}$  so that equation (1) holds. In other words, for such a  $K$  (unique) and  $\tilde{K}$  (modulo  $T_1 S_{A_1}$ ),

$u_{n-1} = u_n^* - u_K + KX$   
 $u_n = u_n^* - u_K - K^T u_1 - \tilde{K}X$

have the desired properties.

(B) The proof for  $n = 2$  is basically the same as in (A), where one needs Lemma 8(a).  $\square$

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